

AMENDMENT NO. 1 Calendar No. \_\_\_\_\_

Purpose: To expand patient access to experimental treatments in clinical trials, and for other purposes.

**IN THE SENATE OF THE UNITED STATES—115th Cong., 1st Sess.**

**S. 934**

To amend the Federal Food, Drug, and Cosmetic Act to revise and extend the user-fee programs for prescription drugs, medical devices, generic drugs, and biosimilar biological products, and for other purposes.

Referred to the Committee on \_\_\_\_\_ and ordered to be printed

Ordered to lie on the table and to be printed

AMENDMENT intended to be proposed by Mr. HATCH (for himself, Mr. BENNET, Mr. BURR, and Mr. CASEY)

Viz:

- 1 At the appropriate place, insert the following:
- 2 **SEC. \_\_\_\_ . EXPANDED ACCESS.**
- 3 (a) **PATIENT ACCESS TO EXPERIMENTAL TREAT-**
- 4 **MENTS.—**
- 5 (1) **PUBLIC MEETING.—**
- 6 (A) **IN GENERAL.—**The Secretary of
- 7 Health and Human Services (referred to in this
- 8 section as the “Secretary”), acting through the
- 9 Commissioner of Food and Drugs, in coordina-
- 10 tion with the Director of the National Institutes

1 of Health, and in consultation with patients,  
2 health care providers, drug sponsors,  
3 bioethicists, and other stakeholders, shall, not  
4 later than 180 days after the date of enactment  
5 of this Act, convene a public meeting to discuss  
6 clinical trial inclusion and exclusion criteria to  
7 inform the guidance under paragraph (3). The  
8 Secretary shall inform the Comptroller General  
9 of the United States of the date when the pub-  
10 lic meeting will take place.

11 (B) TOPICS.—The Secretary shall provide  
12 a publicly available report on the topics dis-  
13 cussed at the meeting described in subpara-  
14 graph (A) within 30 days of such meeting. Such  
15 topics shall include discussion of—

16 (i) the rationale for, and potential  
17 barriers for patients created by, clinical  
18 trial inclusion and exclusion criteria;

19 (ii) how patient populations most like-  
20 ly to be affected by a drug can benefit  
21 from the results of trials that employ alter-  
22 native designs, as well as potential risks  
23 associated with alternative clinical trial de-  
24 signs;

- 1 (iii) barriers to participation in clinical trials, including—
- 2
- 3 (I) information regarding any potential risks and benefits of participation;
- 4
- 5
- 6 (II) regulatory, geographical, and socioeconomic barriers; and
- 7
- 8 (III) the impact of exclusion criteria on the enrollment in clinical trials of infants and children, pregnant and lactating women, seniors, individuals with advanced disease, and individuals with co-morbid conditions;
- 9
- 10
- 11
- 12
- 13
- 14 (iv) clinical trial designs and methods that increase enrollment of more diverse patient populations while facilitating the collection of data to support substantial evidence of safety and effectiveness; and
- 15
- 16
- 17
- 18
- 19 (v) how changes to clinical trial inclusion and exclusion criteria may impact the complexity of the clinical trial design and length of clinical trials, and potential approaches to mitigating those impacts to ensure that the ability to demonstrate safety
- 20
- 21
- 22
- 23
- 24

1                   and effectiveness is not hindered through  
2                   potential changes in eligibility criteria.

3                   (2) REPORT.—Not later than 1 year after the  
4                   Secretary issues a report on the topics discussed at  
5                   the public meeting under paragraph (1)(B), the  
6                   Comptroller General of the United States shall re-  
7                   port to the Committee on Health, Education, Labor,  
8                   and Pensions of the Senate and the Committee on  
9                   Energy and Commerce of the House of Representa-  
10                  tives on individual access to investigational drugs  
11                  through the expanded access program under section  
12                  561(b) of the Federal Food, Drug, and Cosmetic Act  
13                  (21 U.S.C. 360bbb(b)). The report shall include—

14                  (A) a description of actions taken by man-  
15                  ufacturers under section 561A of the Federal  
16                  Food, Drug, and Cosmetic Act (21 U.S.C.  
17                  360bbb-0);

18                  (B) consideration of whether Form FDA  
19                  3926 and the guidance document entitled “Ex-  
20                  panded Access to Investigational Drugs for  
21                  Treatment Use—Questions and Answers”,  
22                  issued by the Food and Drug Administration in  
23                  June 2016, has reduced application burden  
24                  with respect to individuals and physicians seek-  
25                  ing access to investigational new drugs pursu-

1 ant to section 561(b) of the Federal Food,  
2 Drug, and Cosmetic Act (21 U.S.C. 360bbb)  
3 and improved clarity for patients, physicians,  
4 and drug manufacturers about such process;

5 (C) consideration of whether the guidance  
6 or regulations released or updated under section  
7 561 of the Federal Food, Drug, and Cosmetic  
8 Act (21 U.S.C. 360bbb) have improved access  
9 for individual patients who do not qualify for  
10 clinical trials of such investigational drugs, and  
11 what barriers to such access remain;

12 (D) an assessment of how patients and  
13 health care providers navigate different avenues  
14 to engage with the Food and Drug Administra-  
15 tion or drug sponsors on expanded access; and

16 (E) an analysis of the Secretary's report  
17 under paragraph (1)(B).

18 (3) GUIDANCE.—

19 (A) IN GENERAL.—Not later than 180  
20 days after the publication of the report under  
21 paragraph (1), the Secretary, acting through  
22 the Commissioner of Food and Drugs, shall  
23 issue one or more draft guidances regarding eli-  
24 gibility criteria for clinical trials. Not later than  
25 18 months after the public comment period on

1 each such draft guidance ends, the Secretary  
2 shall issue a revised draft guidance or final  
3 guidance.

4 (B) CONTENTS.—The guidance documents  
5 described in subparagraph (A) shall address  
6 methodological approaches that a manufacturer  
7 or sponsor of an investigation of a new drug  
8 may take to—

9 (i) broaden eligibility criteria for clin-  
10 ical trials, especially with respect to drugs  
11 for the treatment of serious and life-threat-  
12 ening conditions or diseases for which  
13 there is an unmet medical need; and

14 (ii) develop eligibility criteria for, and  
15 increase trial recruitment to, clinical trials  
16 so that enrollment in such trials more ac-  
17 curately reflects the patients most likely to  
18 receive the drug, as applicable and as ap-  
19 propriate, while supporting findings of sub-  
20 stantial evidence of safety and effective-  
21 ness.

22 (b) IMPROVING INSTITUTIONAL REVIEW BOARD RE-  
23 VIEW OF SINGLE PATIENT EXPANDED ACCESS PRO-  
24 TOCOL.—Not later than 1 year after the date of enactment  
25 of this Act, the Secretary, acting through the Commis-

1 sioner of Food and Drugs, shall issue guidance or regula-  
2 tions, or revise existing guidance or regulations, to stream-  
3 line the institutional review board review for individual pe-  
4 diatric and adult patient expanded access protocol under  
5 561(b) of the Federal Food, Drug, and Cosmetic Act (21  
6 U.S.C. 360bbb(b)). Such guidance or regulation may in-  
7 clude a description of the conditions under which an insti-  
8 tutional review board chair (or designee) may review indi-  
9 vidual patient expanded access protocol submitted under  
10 section 505(i) of the Federal Food, Drug, and Cosmetic  
11 Act (21 U.S.C. 355(i)) for a drug and how centralized in-  
12 stitutional review boards may facilitate the use of ex-  
13 panded access protocols. The Secretary shall update any  
14 relevant forms associated with individual patient expanded  
15 access protocol as necessary.

16 (c) EXPANDED ACCESS POLICY TRANSPARENCY.—  
17 Section 561A(f) of the Federal Food, Drug, and Cosmetic  
18 Act (21 U.S.C. 360bbb–0(f)) is amended—

19 (1) in the matter preceding paragraph (1), by  
20 striking “later” and inserting “earlier”;

21 (2) by striking paragraph (1);

22 (3) by redesignating paragraph (2) as para-  
23 graph (1);

1           (4) in paragraph (1) as so redesignated, by  
2 striking the period at the end and inserting “; or”;  
3 and

4           (5) by adding at the end the following:

5           “(2) as applicable, 15 days after the drug re-  
6 ceives a designation as a breakthrough therapy, fast  
7 track product, or regenerative advanced therapy  
8 under subsection (a), (b), or (g), respectively, of sec-  
9 tion 506.”.